



Mutual Funds Application Form
CORPORATE

SECTION 1 – GENERAL INFORMATION

Date: Account Manager: Control No. (Internal):

NEW ARM CUSTOMER

☐ Send me information about other ARM Products & Services

EXISTING ARM CUSTOMER

(Please tick the box to select any other ARM products or services that you have)

☐ ARM Pensions☐ Real Estate☐ Others☐ ARM Securities☐ Private Wealth Portfolio

SECTION 2 – PRODUCT INFORMATION (PLEASE SELECT ALL THAT APPLY)

☐ ARM Money Market FundAmount you want to invest ☐ ARM Discovery Balanced FundAmount you want to invest ☐ ARM Fixed Income FundAmount you want to invest ☐ ARM Ethical FundAmount you want to invest ☐ ARM Aggressive Growth FundAmount you want to invest ☐ ARM Eurobond FundAmount you want to invest

ARM STRICTLY PROHIBITS payment of CASH by existing and prospective clients to any representative of the Company, for any reason whatsoever. All payments to the Company shall be by way of cheques or bank drafts issued in the name of the Fund or by direct bank transfers or cash payments to the Company's designated Bank Accounts. The Company shall not be liable for any direct or indirect loss or damage which may arise from failure of the Client to adhere to this directive. If you do not receive any investment notification 48 hours after making payment for your investment, please call ARM Engage (our quick-response Customer Contact Centre) on 0700 2255 276.

SECTION 3 – CUSTOMER INFORMATION

LEGAL NAME OF COMPANY

Company Name Date of Incorporation Registration Number

REGISTERED OFFICE ADDRESS:

Town/City State Country

MAILING ADDRESS:

Town/City State Country

CORPORATE TELEPHONE NUMBER

Email Address NEPC Number (for export customers only) Nature of Business (please specify) TAX Identification Number (TIN) Sector (private/ public/ Industry Classification)

COMPANY REPRESENTATIVE 1 Name

Street Town/City State Country

COMPANY REPRESENTATIVE 2 Name

Street Town/City State Country

COMPANY BANK ACCOUNT INFORMATION: Account Name

Account Number Bank Name BVN Number

Dividends/ Redemption payments will only be made to the bank account details stated above.

ARM PROVIDES YOU THE OPTION TO RE-INVEST YOUR DIVIDENDS. WOULD YOU LIKE TO RE-INVEST YOUR DIVIDENDS? ☐ YES ☐ NOYES, I'D LIKE TO SET UP A DIRECT DEBIT MANDATE ☐NATIONALITY: ☐ Nigerian ☐ If not Nigerian please state Nationality If any/ all of the company representatives has residence in a jurisdiction other than Nigeria, please state the jurisdiction If any/ all of your company representatives is a US citizen or US tax resident, please provide US Tax Identification Number.

Do you hold a senior public office (in or outside Nigeria), or have a close business or personal connection to such a person? (A person who holds a senior public office includes persons who hold, or have held, political offices such as senior government officials, members of the judiciary, senior executives of government-owned companies, members of royal families, etc.). If yes, please state the source of the assets or funds to be invested.



SECTION 4 – DECLARATION BY APPLICANTS

- ☐ I/We am/are at least 18 years old
- ☐ I/We have attached a cheque, bank draft, or evidence of fund transfer/ payment made payable in the name of my chosen fund to ARM with my name captured overleaf
- ☐ I/We understand that as with all stock market investment, the prices of Mutual Funds (i.e. ARM Discovery Fund, ARM Aggressive Growth Fund, and ARM Ethical Fund) invested in quoted securities may go down or up. I understand that past performance is not an indication of future performance.
- ☐ I/We agree to comply with the minimum investment period specified for any of The Mutual Funds, failing which I accept any losses, charges or costs that may arise at the point of redemption of my investment.
- ☐ I/We agree that my e-statement can be sent at my risk to the correspondence address/email address I have provided.
- ☐ I/We hereby authorize the Fund Manager to honour redemption requests and instructions sent by electronic mail in respect of my investment holdings in the Fund.
- ☐ I/We declare that the above information is true

SECTION 5 - INDEMNITY FOR REDEMPTION REQUESTS/INSTRUCTIONS SENT BY ARM MUTUAL FUND CLIENTS THROUGH ELECTRONIC MAIL

I am an investor in the ARM [Insert Mutual Fund Name]

I hereby authorize the Fund Manager to honour redemption requests and instructions sent by electronic mail in respect of my investment holdings in the Fund and in this regard confirm [email address of client] as my designated email address for this purpose.

In consideration of the Fund Manager honouring my requests and instructions sent by electronic mail, I hereby undertake to indemnify the Fund Manager against any loss, liabilities, damages, claims, proceedings, cost or expenses of whatever nature that may be incurred by the Fund Manager as a result of any issue arising from the honouring of my redemption requests and instructions sent by electronic mail from my designated email address stated above.

Authorized Signature	Thumbprint	Authorized Signatory	Thumbprint	Company Seal
Name	Designation	Name	Designation	

SECTION 5 – APPLICATION CHECKLIST

- ☐ Completed Application Form
- ☐ Proof of Address
- ☐ Passport Photograph of Signatories
- ☐ Personal ID of Signatories
- ☐ Board Resolution
- ☐ Evidence of Initial Deposit/Transfer (Copy)
- ☐ Form Cac7-particulars Of Director
- ☐ Certificate of Incorporation (For Corporate Entities)
- ☐ Form Cac2-statement Of Share Capital
- ☐ Resident Permit (If Applicable)
- ☐ Memorandum & Articles Of Association

* Corporate organisations may send us additional instructions by sending us a letter attached to this application, and signed by all additional signatories.

BANK	AGGRESSIVE GROWTH FUND	DISCOVERY BALANCED FUND	ETHICAL FUND	MONEY MARKET FUND	ARM FIXED INCOME FUND
GTBank	0004201332	0004201246	0051891760	0124516495	0430250177
First Bank	1000012383	1000011379	2018262023		
Zenith Bank	1011274864	1011202603	1012732194		

ARM FIXED INCOME FUND			
BANK	ACCOUNT NAME	CUR	ACCOUNT NUMBER
Rand Merchant Bank	STL TRUSTEES/ARM FIXED INCOME FUND	NGN	1000111137
Guaranty Trust Bank Plc	STL TRUSTEES/ARM FIXED INCOME FUND	NGN	0430250177

DOLLAR FUNDS

US DOLLAR PAYMENTS	EURO PAYMENTS	ARM EUROBOND FUND
<div>CORRESPONDENT BANK: CitiBank, New York, USA</div> <div>SWIFT CODE: CITIUS33 ABA NUMBER: 0210-0008-9 FOR CREDIT OF: GTBank, Lagos ACCOUNT NUMBER: 0004203329</div> <div>FOR CREDIT TO ACCOUNT OF: Asset & Resource Management Co. Ltd. ACCOUNT NO: 131211/2/1/1</div>	<div>CORRESPONDENT BANK: CitiBank, London</div> <div>SWIFT CODE: CITIGB2L SORT CODE: 185008 FOR CREDIT OF: GTBank, Lagos SWIFT CODE: GTBINGLA ACCOUNT NUMBER: 0004203398</div> <div>FOR CREDIT TO ACCOUNT OF: Asset & Resource Management Co. Ltd. ACCOUNT NO: 131211/46/1/0</div>	<div>CORRESPONDENT BANK: Bank Of America New York</div> <div>BIC: BOFAUS3N BENEFICIARY BANK: Rand Merchant Bank Nigeria Ltd ACCOUNT NO: 6550483800 SWIFT CODE: FIRNGLA</div> <div>FINAL BENEFICIARY ACCOUNT NAME: STL Trustees/ARM Eurobond Fund</div> <div>FINAL BENEFICIARY ACCOUNT NUMBER: 1000111151</div>

IMPORTANT NOTICE:

Kindly be advised that SEC regulations require that we return remitted funds back to the originating account if account opening documentation is not completed within two weeks of receipt of funds by ARM.



SECTION 7 – ARM LOCATIONS

LAGOS: ° 1 Mekunwen Road, off Oyinkan Abayomi Drive Ikoyi, Lagos ° 68C Coker Road, Town Planning Way, Ilupeju ° 86 Adeniran Ogunsanya Street, Surulere	ABUJA ° 129 Adetokunbo Ademola Crescent, Wuse II, Abuja	
ONITSHA: ° 60A Old Market Road, Opp. Broadway Cinema, Onitsha, Anambra	PORT HARCOURT: ° 12 Circular Road , Presidential Estate II, GRA, PH	

SECTION 8 - CUSTOMER EXPERIENCE TEAM CONTACT INFORMATION

TELEPHONE: ° 234-1-448 8282, 810 8282, 0700 CALLARM (0700 225 5276)	EMAIL: enquiries@arminvestmentcenter.com	WEB: www.arminvestmentcenter.com
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Founded in May 1994, ARM offers you wealth creation opportunities through a unique blend of traditional asset management and alternative investment services.

We enable businesses to thrive, and help our clients to make the most of their investments and realise their most important goals. For over two decades, we have built a firm, uniquely equipped to achieve these objectives and our reputation for quality research, investment expertise and value-added services has endeared us to clients both locally and internationally. Throughout our history, we have strategically positioned ourselves to take advantage of growth opportunities within Africa and major international markets on behalf of our clients.

ARM is registered with and regulated by the Nigerian Securities & Exchange Commission (SEC). ARM is headquartered in Lagos, Nigeria with offices across the country and Africa.

