

# **Private Wealth/Estate Planning**

## Account Opening Form



Application Checklist

Individual:

Proof of Address  
(Utility Bill, Tenancy Agreement, etc.)

Means of Identification  
(Driver's License, International  
Passport or National ID card)

Recent Passport Photograph  
(Not more than 6 months old)

Corporation:

Certified True Copy of Board Resolution  
Authorizing opening of ARM account  
and designating the persons authorized  
to sign on behalf of the Company

Certified true copy of the  
certificate of incorporation

Certified True Copy of  
Form CAC 7  
(particulars of Directors of company)

Certified true copy of Memorandum  
& Articles of Association

Profiling Form

Please note that the service will commence only after ARM receives the signed account opening document and all relevant supporting documents.

Account Type

Please open the following  
account(s) (tick all that apply):

Individual Account  
Fill only the Primary Account Owner section

Joint/Family Account

Corporate/Institutional Account

Trust/Foundation Account

Please complete this form digitally and upload the required documents. For assistance, contact your Relationship Manager.

Discretionary

Non-Discretionary

Currency

Pls specify currency

Naira

USD

Type of Trust

Revocable

Irrevocable

\*Purpose of opening Account/Portfolio/Trust

Individual Details (Please fill in block letters)

Individual Account Owner

\*Title

\*Surname

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\*First name

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\*Middle name

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\*Gender

Male

Female

\*Date of Birth

D D / M M / Y Y Y Y

Joint Account Owner

\*Title

\*Surname

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\*First name

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\*Middle name

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

\*Gender

Male

Female

\*Date of Birth

D D / M M / Y Y Y Y

\*Mandatory field

Single	Married	Others	Pls state if others
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Nigerian	If not Nigerian, please state Nationality
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Single      Married      Others      Pls state if others

Nigerian	If not Nigerian, please state Nationality
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[illegible][illegible][illegible]

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[illegible]

**\*Contact Details**

\*Residential Address

\*Phone Number

\*Email Address

\*Residential Address

\*Phone Number

\*Email Address

**Next of Kin Information**

\*Full Name of Next of Kin

\*Gender

Male

Female

Phone Number

\*Address

\*Relationship to Next of Kin

Email Address

\*Full Name of Next of Kin

\*Gender

Male

Female

Phone Number

\*Address

\*Relationship to Next of Kin

Email Address

**Joint/Family Account or Trust (Please fill in block letters)**

\*Relationship of Account/Trust holders

Mandate Instruction:      Either to sign      Both to sign

Other (specify):    Pls state if others

Signature A

Date

D

D

/

M

M

/

Y

Y

Y

Y

Signature B

Date

D

D

/

M

M

/

Y

Y

Y

Y

\*Mandatory field

Corporate / Institutional Account Details (if selected)

*Company Name	
*RC Number	TIN/VAT
Registered Address	
Mailing Address	
Country of Incorporation	Nature of Business

Investment Profile

Investment Objective:	Growth	Income	Preservation	Legacy Planning
Investment Horizon:	<1 year	1–3 years	3–5 years	5+ years
Risk Tolerance	Conservative	Moderate	Aggressive	
*Source of Funds	Salary	Dividend	Gift	Others
Pls state if others				

Please explain how your overall Assets are held using the table below:

Assets	Currency	Value	Details (Please provide as much details as you can and continue on a separate sheet)	
Shares (private companies entities)			Name and location of companies.	
			Number of Shares held:	
Stock market Investments Equities and fixed Income Investment			Investment/Funds name and quantity held	
Bank Deposits and Investment			Please indicate bank name(s).	
Other Financial Assets.			(Eg- Pension and Retirement funds, Education plans)	
Private & Residential Real Estate Assets			Brief description and location (eg. Block of flats in Ikoyi).	
Commercial Real Estate Assets			Brief description and location (eg. 2 Shops in Oluwale Urban Mall Lagos).	
Others (please specify).				

## Politically Exposed Person (PEP) Declaration

A Politically Exposed Person (PEP) is anyone who holds or has held a senior public position, such as a government official, senior military officer, judge, politician, or executive of a state-owned company.

### For this declaration:

- Immediate family members include a spouse, children, parents, siblings, or close relatives by blood or marriage.
- Close associates are individuals with a close personal or business relationship with a PEP, such as business partners, those involved in major financial dealings, or those benefiting from transactions made by or for the PEP.

### Primary Account Owner

Are you, a member of your immediate family, or any of your close associates currently or formerly a Politically Exposed Person (PEP)?

Yes      No      If yes, please provide details below:

The PEP declaration is required by Law.

\*Name

\*Relationship with PEP

\*Position of the PEP

### Joint Account Owner

Are you, a member of your immediate family, or any of your close associates currently or formerly a Politically Exposed Person (PEP)?

Yes      No      If yes, please provide details below:

The PEP declaration is required by Law.

\*Name

\*Relationship with PEP

\*Position of the PEP

## Your bank details

\*Account Name

\*Bank Name

\*Account number

**For Dollar Investments ONLY (e.g. Dollar funds, foreign equities, Eurobonds) please fill below:**

Beneficiary Bank Address

Beneficiary Bank Account Number

Beneficiary Bank Sort Code

Beneficiary Bank Swift Code

Intermediary Bank Name

Intermediary Bank ABA

Intermediary Bank Swift Code

Intermediary Bank Address

Intermediary Bank Account Number

Dividends/ Redemption payment will only be made to the bank account details stated above.

### Client Declaration & Authorization

By clicking below, I/We confirm that:

I/We confirm that the information provided is true and complete.	I/We agree that account statements may be sent—at my risk—to the correspondence address or email I have provided.
I/We agree to the terms and conditions of ARM and its subsidiaries.	I/We declare that the information provided above is true, complete, and accurate.
I/We understand that, as with all investments in securities, bonds, mutual funds, or trustee-managed products, the value of my investment(s) may fluctuate and is not guaranteed. I acknowledge that past performance is not indicative of future performance.	I/We consent to data sharing within ARM for service optimization.

### Terms & Conditions – VISIT [ARM.COM.NG/PRIVACY-POLICY](https://arm.com.ng/privacy-policy) TO READ TERMS & CONDITIONS

**Important Notice:** Kindly be advised that SEC regulations require that we return remitted funds back to the originating account if account opening documentation is not completed within two weeks of receipt of funds by ARM.

I agree to the terms and condition      YES      NO

\*Name

\*Signature

\*Date

I agree to the terms and condition      YES      NO

\*Name

\*Signature

\*Date

### Signature Mandate (for Primary & Joint Account/Trust Owner)

By signing below, I or we authorize and mandate all instructions related to this account to be executed in accordance with the signature(s) provided.

Name A

Name B

Signature A

Date

Signature B

Date



Indemnity for Electronic Based Redemption Requests/Instructions

I am an investor with ARM and hereby authorise ARM and/or its appointed Fund Managers, Securities Agents, or Trustees to honour redemption requests and instructions sent via electronic media in respect of my investment or trust holdings. I confirm the email address provided below as my designated email for this purpose.

Name

Signature

Date

D

D

/

M

M

/

Y

Y

Y

Y

Email

ARM Data Privacy Notice

In line with the Nigeria Data Protection Act 2023 (NDPA), ARM is committed to protecting your personal data and ensuring your privacy rights are respected.

We collect and use your data to:

- Comply with legal and regulatory requirements
- Respond to your enquiries and deliver agreed services
- Improve our products, services, and customer experience
- Communicate updates, offers, or research via email, phone, or mail
- Maintain and manage our digital platforms
- Reach you if contact details change

By interacting with us, you consent to the collection, processing, and secure storage of your data. We collect only what is necessary, do not sell your information, and use it only as described in our privacy policy.

For details, visit: [www.arm.com.ng](http://www.arm.com.ng)